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Packet No.: ROC920010018US1

PATENT

UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: William J. Anderl, et al. : By the Examiner: Unknown  
Serial No.: 09/809,699 : Group Art Unit: 2874  
Filed: March 15, 2001  
Title: COMPACT OPTICAL TRANCEIVERS  
INCLUDING THERMAL  
DISTRIBUTING AND  
ELECTROMAGNETIC SHIELDING  
SYSTEMS AND METHODS THEREOF  
Date: June 12, 2001

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Applicant submits herewith patents, publications or other information of which he is aware, which he believes may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98(a)(1).

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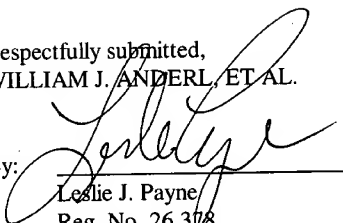
Docet No.: ROC920010018US1

PATENT

A copy of each of these items on PTO-1449 is supplied herewith.

Respectfully submitted,  
WILLIAM J. ANDERL, ET AL.

By:

  
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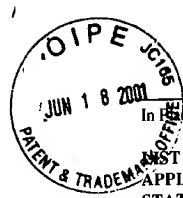
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Date of Deposit: June 12, 2001

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In Place of FORM PTO-1449 (Modified)

Serial No.: 09/809,699  
Applicant: William J. Anderl, et al  
Filing Date: March 15, 2001  
Group: 2874  
Atty. Docket No.: ROC920010018US1

**LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT**

Reference Designation

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
___ AAA						
___ ABA						
___ ACA						
___ ADA						

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes ___ No
___ AKA						
___ ALA						
___ AMA						
___ ANA						
___ AOA						

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

Examiner Initial

- \_\_\_ APA United States Serial No. 09/809,531 (Atty. Docket No. ROC920010015US1), entitled: TECHNIQUE AND APPARATUS FOR COMPENSATING FOR VARIABLE LENGTHS OF TERMINATED OPTICAL FIBERS IN CONFINED SPACES;
- \_\_\_ AQA United States Serial No. 09/809,650 (Atty. Docket No. ROC920010020US1), entitled: AN OPTICAL FIBER COUPLER AND AN OPTICAL FIBER COUPLER INCORPORATED WITHIN A TRANSCEIVER MODULE;
- \_\_\_ ARA United States Serial No. 09/809,127 (Atty. Docket No. ROC920010054US1), entitled: HIGH FREQUENCY MATCHING METHOD AND SILICON OPTICAL BENCH EMPLOYING HIGH FREQUENCY MATCHING NETWORKS;
- \_\_\_ ASA
- \_\_\_ ATA
- \_\_\_ AUA

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether nor not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.